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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.

IN-9507Div.

First Named Inventor

James A. DECKERS, t al.

Filing Date

December 10, 2003

Title

Thickeners for paper dye compositions

Express Mail Certificate

EO902145016US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia
22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages]
-(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- ☐ Statements verifying identify of above copies

4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets]
5. ☒ Oath or Declaration [Total Sheets]
a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
6. ☒ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR§3.73(b) Statement ☐ Power Of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/ PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No 09/898,317, filed on July 3, 2001.

Prior application information:

Examiner Einsmann, Margaret V.Group/Art Unit: 1751For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☒ Customer Number26922

or

☐ Correspondence address below

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Michael F. MORGAN

Registration No. (Attorney Agent)


42,906

Signature

Michael Morgan

Date

December 10, 2003

FEE TRANSMITTAL For FY 2002 Patent fees are subject to annual revision.		Attorney Docket No.	IN-9507Div.																																																																																																																													
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METHOD OF PAYMENT (check all that apply) <input checked="" type="checkbox"/> Deposit Account: <input type="checkbox"/> Credit Card <input type="checkbox"/> Check Deposit Account Number 23-3425 Deposit Account Name BASF CORPORATION The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Change fees indicated below <input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § <input checked="" type="checkbox"/> Change any addition fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		FEE CALCULATION (continued) SIR after Examiner action 3. ADDITION FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large</th> <th>Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Fee Code</td><td>Fee (\$)</td><td></td><td></td></tr> <tr><td>105</td><td>130</td><td>Surcharge -late filing fee or oath</td><td></td></tr> <tr><td>147</td><td>2,520</td><td></td><td></td></tr> <tr><td></td><td></td><td>SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td>SIR after Examiner action</td><td></td></tr> <tr><td>127</td><td>50</td><td>provisional ailing or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>Notice of Appeal</td><td></td></tr> <tr><td>119</td><td>320</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive-unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>Petition to revive-unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>450</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>670</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petition to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petition related to provisional application</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent Assignment per property (time number of properties)</td><td></td></tr> <tr><td>146</td><td>790</td><td>Filing a submission after final rejection</td><td></td></tr> <tr><td>149</td><td>790</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other</td><td>fee</td><td>(specify)</td><td></td></tr> <tr><td colspan="3">Reduced By Basic Fee Paid Filing</td><td>SUBTOTAL (3) -0-</td></tr> </tbody> </table>			Large	Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)			105	130	Surcharge -late filing fee or oath		147	2,520					SIR after Examiner action				SIR after Examiner action		127	50	provisional ailing or cover sheet		139	130	Non-English specification		115	110	Extension for reply within first month		116	400	Extension for reply within second month		117	920	Extension for reply within third month		118	1,440	Extension for reply within fourth month		128	1,960	Extension for reply within fifth month		119	320	Notice of Appeal		119	320	Notice of Appeal		120	320	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1,510	Petition to institute a public use proceeding		140	110	Petition to revive-unavoidable		141	1,280	Petition to revive-unintentional		142	1,280	Utility issue fee (or reissue)		143	450	Design issue fee		144	670	Plant issue fee		122	130	Petition to the Commissioner		123	50	Petition related to provisional application		126	240	Submission of information Disclosure Statement		581	40	Recording each patent Assignment per property (time number of properties)		146	790	Filing a submission after final rejection		149	790	For each additional invention to be examined (37 CFR 1.129(b))		Other	fee	(specify)		Reduced By Basic Fee Paid Filing			SUBTOTAL (3) -0-
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Name (print Type)	Michael F. Morgan		Registration No. (Attorney Agent)	42,906																																																																																																																												
Signature			Date	December 10, 2003																																																																																																																												

PATENT

(Docket No. IN-9507Div.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

James A. Deckers et al.

Serial No.:

Group Art Unit:

Filed: December 10, 2003

Examiner:

For: Thickeners for paper dye compositions

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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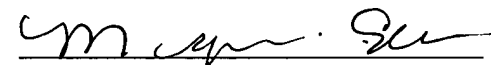
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☒ English Application
Specification on

10

 pages; claims on

03

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the abstract on

01

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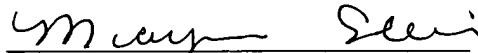
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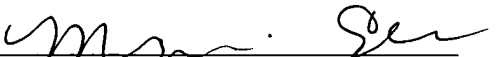
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x	Information Disclosure Statement
x	Form PTO-1449
x	Cited Reference(s)

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